

A Practical Guide to Genome-wide Association Studies (GWAS)

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Introduction and objectives

- Part of the AG2PI online workshop series (workshop #4)
- The workshop will be covering
 - Basic theories behind Genome-wide association studies (GWAS)
 - How to conduct a GWAS experiment and what are the resources to do so
 - Hands-on tutorial session using maize and Sorghum (chromosome 9) as examples to demonstrate the analysis of GWAS
(https://github.com/zhzheng92/AG2PI_GWAS_workshop_June2021)




Agricultural Genome to Phenome Initiative (AG2PI) is funded by USDA-NIFA award 2020-70412-32615






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AG2PI Twitter

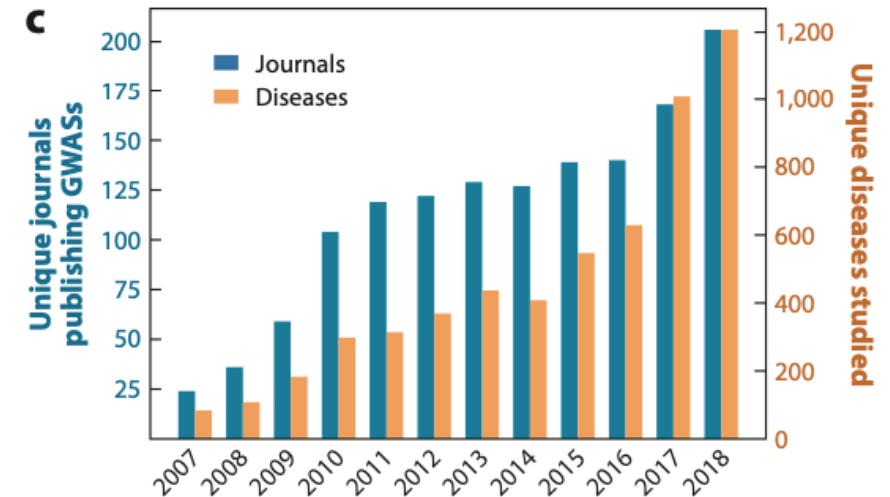


AG2PI YouTube

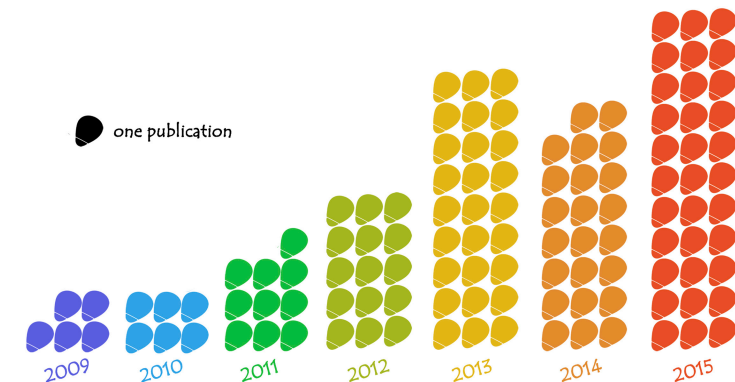
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Genome-wide association studies (GWAS)

- GWAS: an observational study to dissect genetic architecture of complex traits by testing the association of a genome-wide set of genetic variants with variation in phenotype across an assembled population.
- Milestones :
 - Developed in context of human disease genetics in the mid 1990s
 - First GWAS publication in 2002 (Ozaki *et al.*, 2002)
 - First GWAS publication in plants in 2005 (Aranzana *et al.*, 2005)



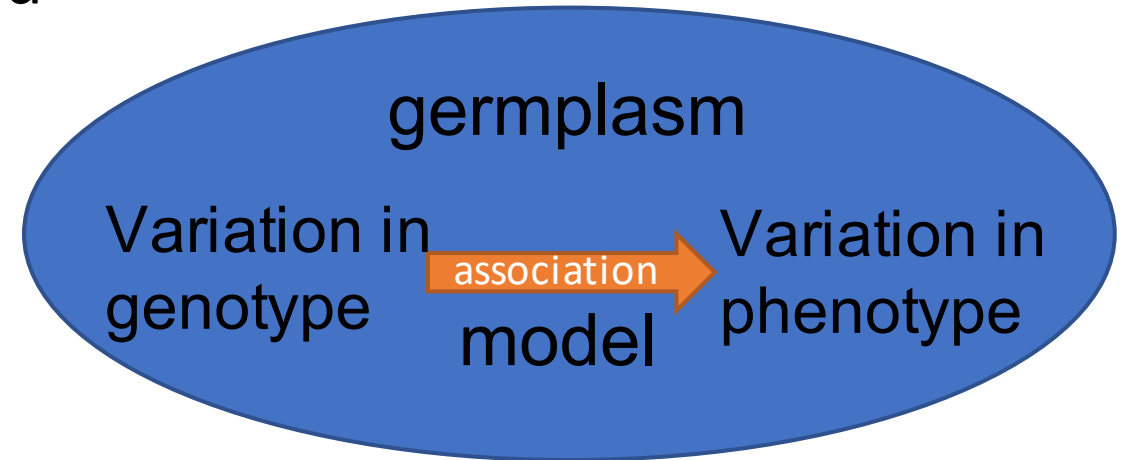
Mills and Tropf (2020)



Xiao *et al.* (2017)

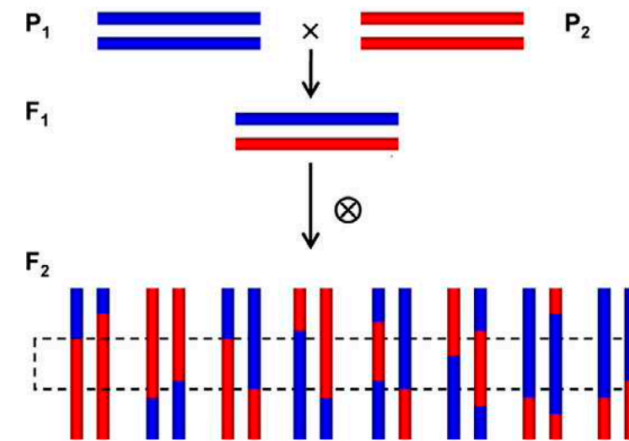
Genome-wide association studies (GWAS)

- GWAS aims to find those genetic markers at which variation in genotype is significantly associated with variation in phenotype.
- Key factors that drive the development of GWAS
 - Germplasm (population)
 - Genetic markers
 - Statistical models
 - Phenotype

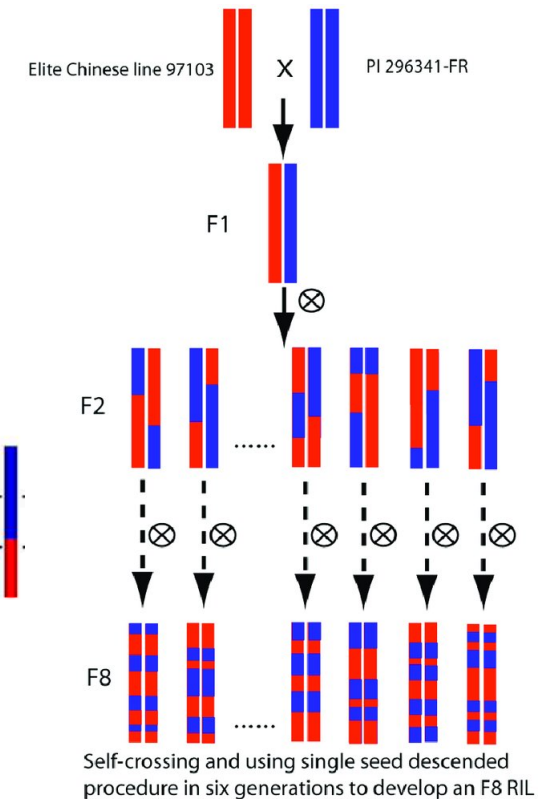


Linkage analysis

- Linkage analysis for quantitative trait locus (QTL) mapping
 - a statistical method for discovering the locations of loci underlying a trait by testing for **co-segregation** with genetic polymorphisms of known positions in the genome.
 - completely controlled genetic background (F₂, Recombinant inbred lines, RILs, multi-parental population etc.)
 - Statistical models: single-marker analysis, interval mapping, composite interval mapping (CIM), multiple-locus CIM



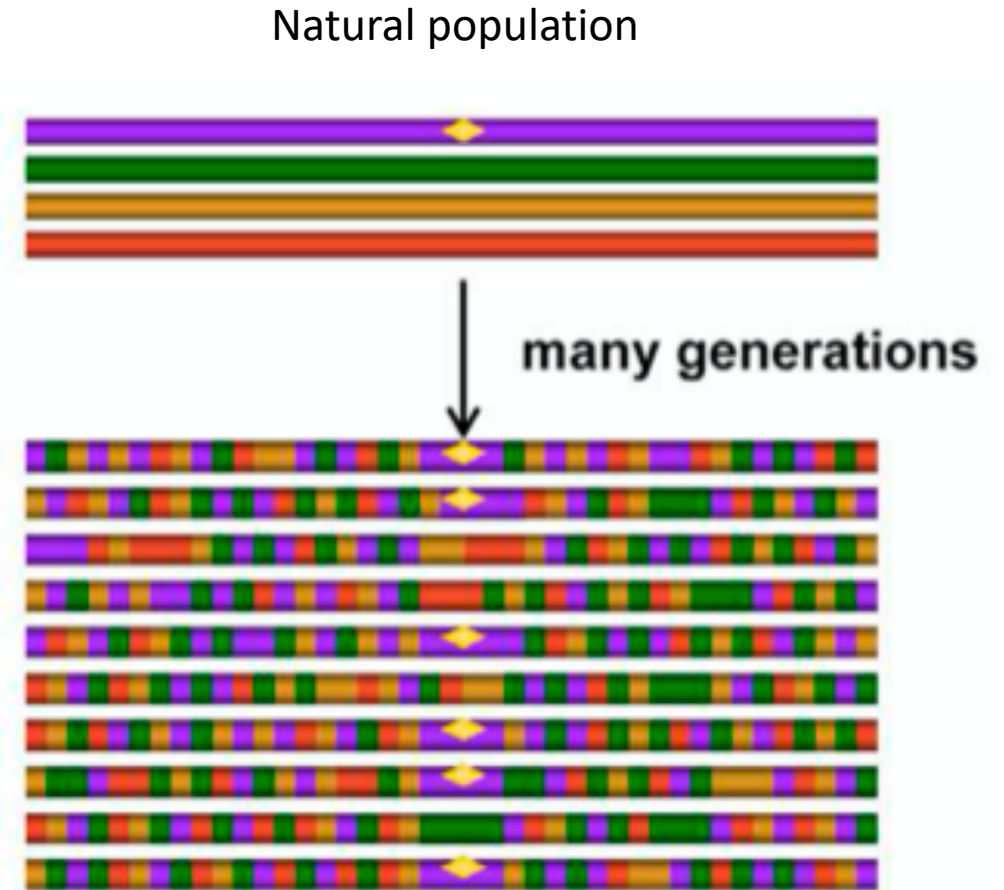
Zhu *et al.* (2008)



Ren *et al.* (2012)

Association mapping

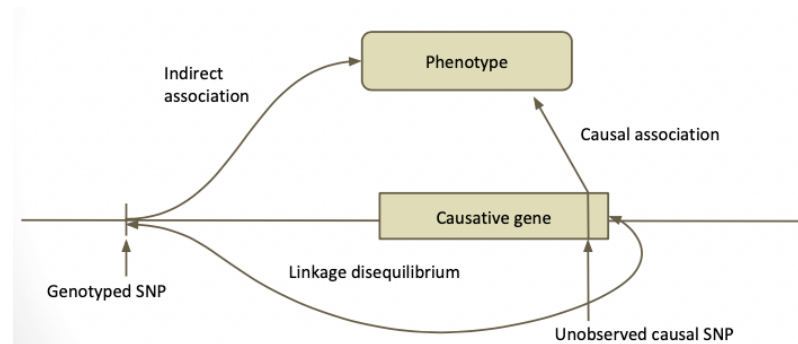
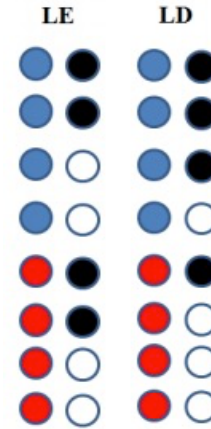
- Association mapping
 - A statistical method identifies quantitative trait loci (QTL) by examining the marker-trait associations that can be attributed to the strength of **linkage disequilibrium (LD)** between markers and functional polymorphisms across a set of diverse germplasm.
- Depending on the marker coverage
 - Candidate gene association studies
 - Genome-wide association studies



Zhu *et al.* (2008)

Association mapping

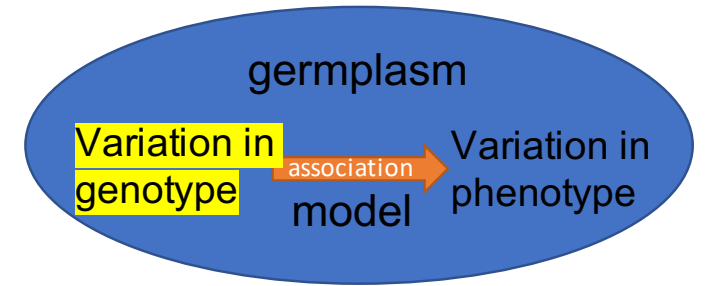
- Linkage disequilibrium (LD)
 - The nonrandom association between two or more loci in a population.
 - In general, the strength of the correlation between two markers is a function of the distance between them: the closer two markers are, the stronger the LD.
 - There's no single best statistic that quantifies the extent of LD



Genotype Data						Phenotype Data
Genotyped	NOT Genotyped		Genotyped			Berry Number
Low LD SNP	Functional SNP		High LD SNP			
G	T	C		15
A	T	C		14
G	T	C		13
A	T	T		12
A	T	C		11
G	A	T		10
G	A	C		9
A	A	T		8
G	A	T		7
A	A	T		6

ASSOCIATION RESULTS						
Low LD SNP		Functional SNP		High LD SNP		
G	A	T	A	C	T	Alleles
10.8	10.2	13.0	8.0	12.4	8.6	Mean Berry Number
0.77		0.0011		0.037		P value of association test
0.04		1		0.36		R ² - LD with functional SNP

Association mapping



- Candidate gene association mapping
 - Hypothesis-driven (prior knowledge about the location and the biochemical/regulatory pathway); miss unknown loci associated with the trait
 - Trait-specific (candidate genes)
 - Lower cost (smaller population size and number of SNPs)
- Genome-wide association mapping
 - A comprehensive approach to systematically search the genome for causal genetic variation; no prior information about candidate genes required
 - Higher cost
 - Community efforts to assemble the diversity panel
 - Advance of NGS made genotyping for large number of SNPs possible

Linkage mapping and association mapping are complementary

- Linkage mapping

- Pros

- Relatively small population size
- Genetic marker with lower density
- Detected QTL can go through fine-mapping

- Cons

- Low allelic diversity
- Experimental crosses take a long time
- Limited recombination events, lower mapping resolution

- Association mapping

- Pros

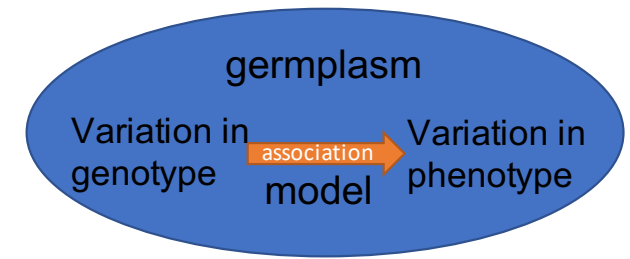
- High allelic diversity
- No crosses needed, save time
- Take advantage of historical recombination, higher mapping resolution

- Cons

- Observational experiment, substantial variation in many phenotypes
- Large population size to detect QTL
- High-density genetic marker
- More validation needed for candidate genes

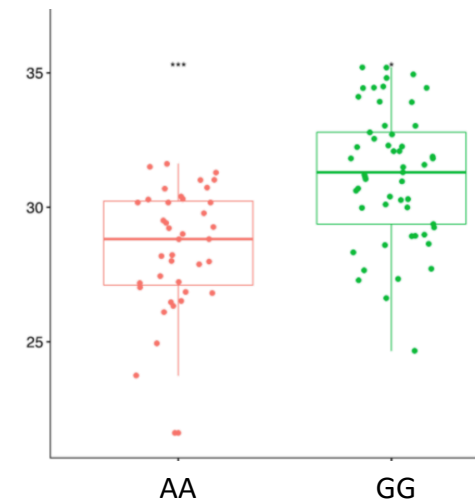
The evolution of GWAS

- Naïve model: t-test
- False positives accumulate across large number of markers
- **Genetic background of population**
 - Doesn't address relatedness among individuals within the diversity panel



	Variation in genetic markers					Variation in phenotype
Individual 1	A	C	G	A	G	1.3 m
Individual 2	A	C	G	A	T	1.4 m
Individual 3	A	T	A	A	G	1.5 m
Individual 4	C	T	A	G	T	1.8 m
Individual 5	A	C	G	G	T	2.0 m
Individual 6	A	T	G	G	G	2.1 m
	A/C	T/C	G/A	A/G	G/T	

Roberto Fritsche-Neto lecture slides on GWAS

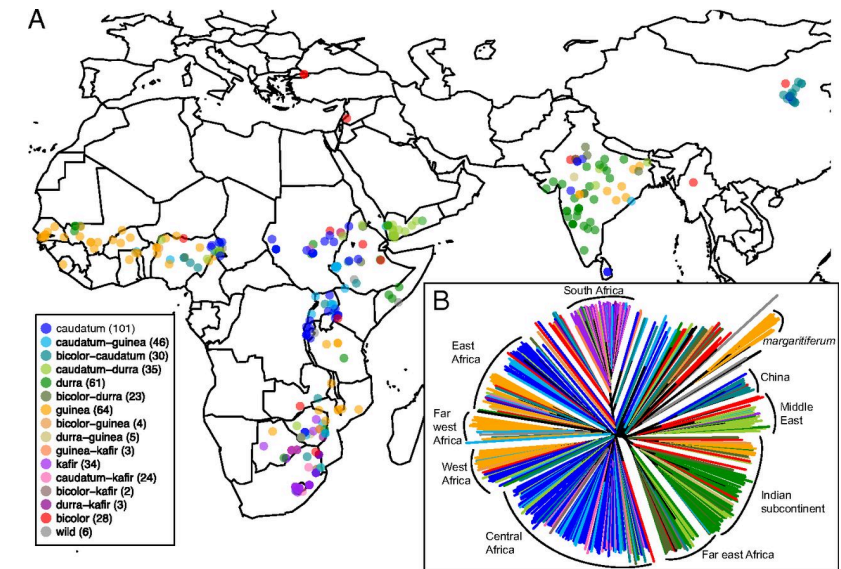


germplasm

Variation in genotype $\xrightarrow{\text{association model}}$ Variation in phenotype

Relatedness among individuals

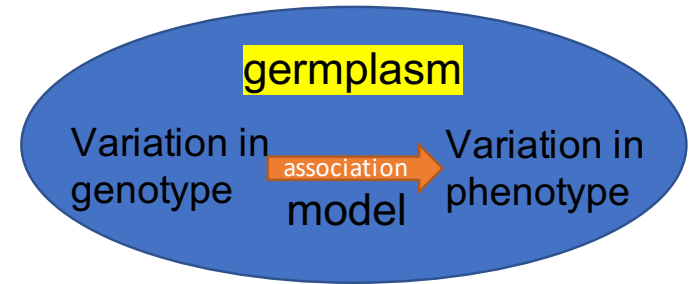
- Population structure
- Systematic difference in allele frequencies between subpopulations (caused by geographical and climate distance, familial relationship etc.)
- Can lead to spurious association (false positives/Type I error)



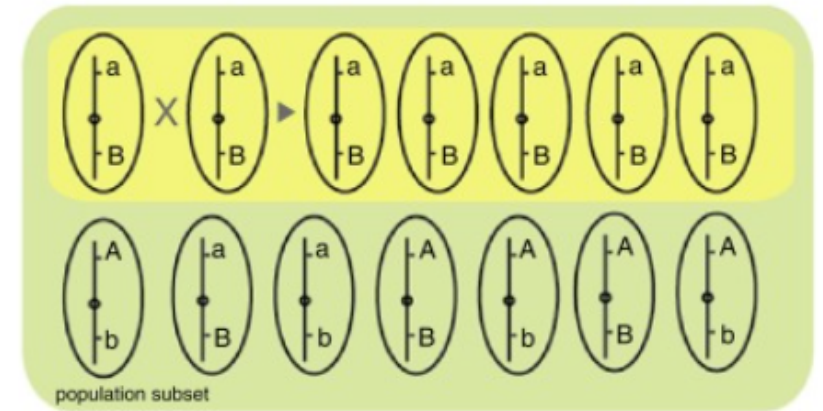
Morris *et al.* 2013

	West Africa										East Africa									
Plant height (PHT)	10	10	12	11	13	9	11	10	13	12	4	6	5	7	6	6	4	5	9	5
Disease resistant (DS)	S	S	S	S	R	S	S	S	S	R	R	S	R	R	R	R	R	R	S	R
SNP1 (A/G) PHT	A	A	A	G	A	A	A	A	G	A	G	G	G	G	G	G	A	G	A	G
SNP2 (C/G) DS	C	C	C	C	C	G	C	C	G	C	G	G	G	G	C	G	G	C	G	G

Relatedness among individuals

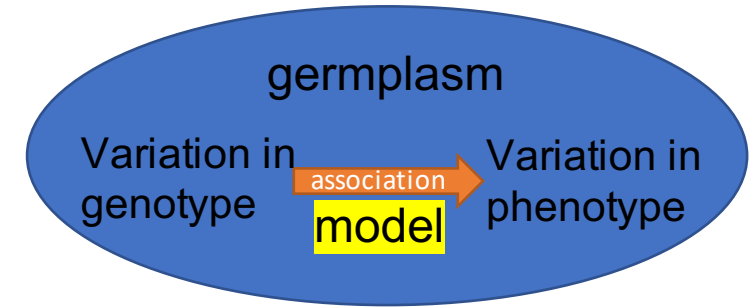


- Unequal familial relationship (kinship)
- Familial relatedness from recent coancestry among individuals usually exists in a collection of diversity panel, which can lead to spurious association.
 - Estimate relationships among individuals using molecular markers due to incomplete pedigree information (kinship matrix)
 - Two individuals sharing a lot of genotypes at SNPs are likely belong to the same family

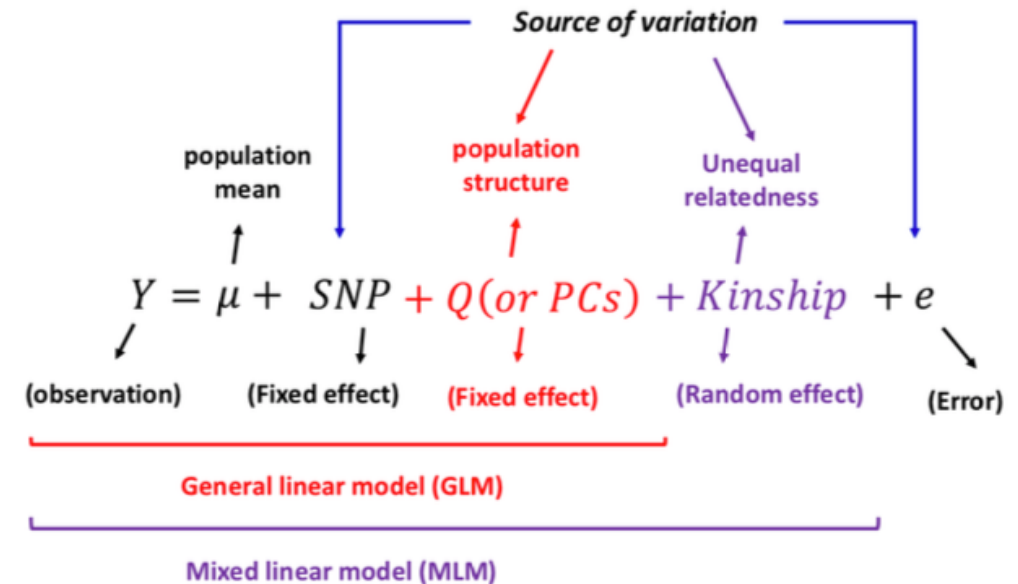


Lipka *et al.* (2018)

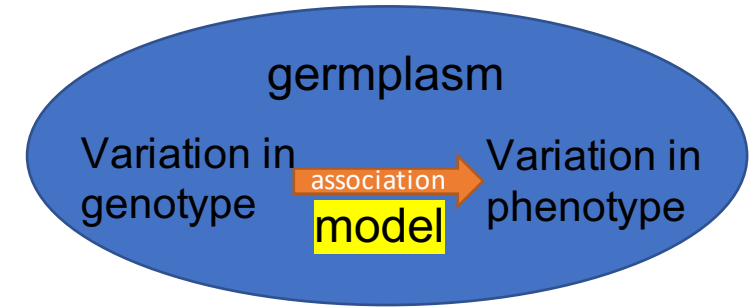
Mixed linear model framework



- A unified mixed-model framework for association mapping that accounts for multiple levels of relatedness
 - Control for population structure estimated by the SNP data (STRUCTURE or principle component analysis, PCA)
 - Control for unequal familial relationship using kinship matrix
- [A tutorial on mixed linear model](#)



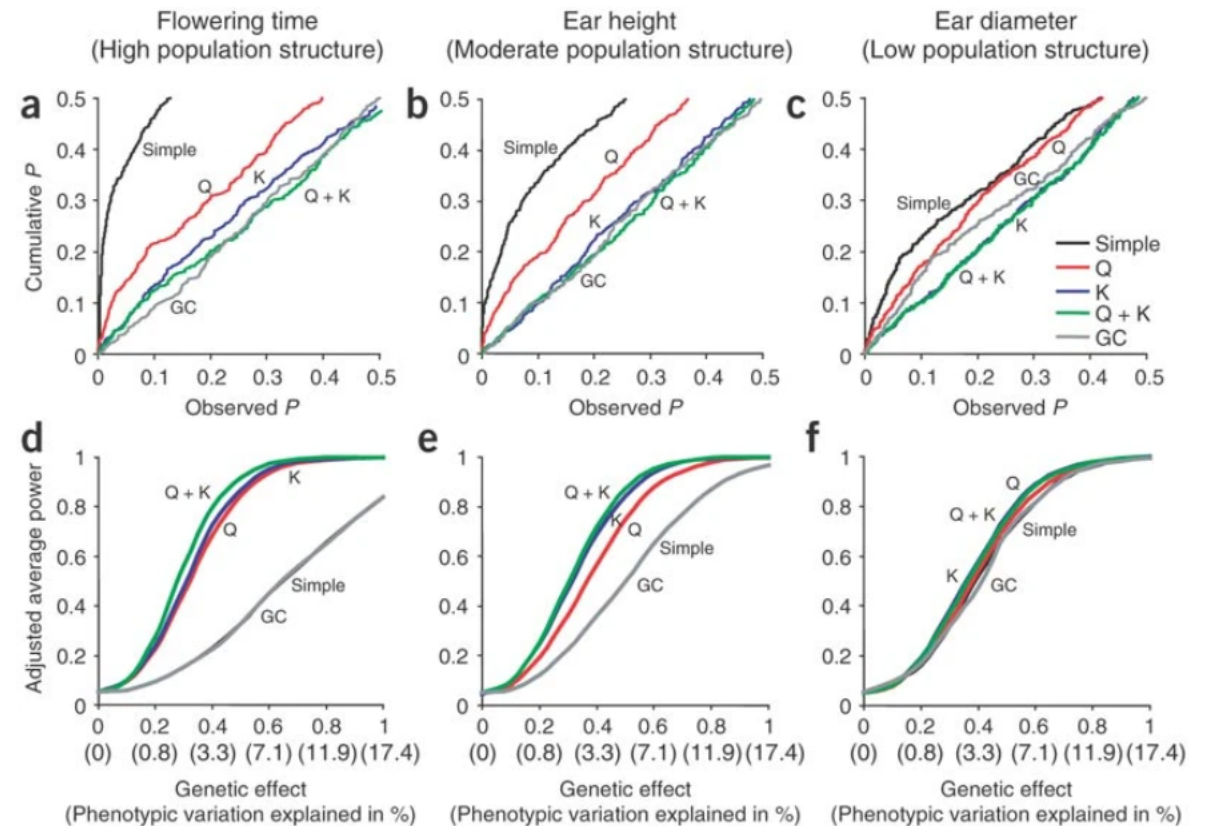
Mixed linear model framework



- By controlling population structure and kinship at the same time, mixed linear model is able to reduce Type I and Type II errors in GWAS.

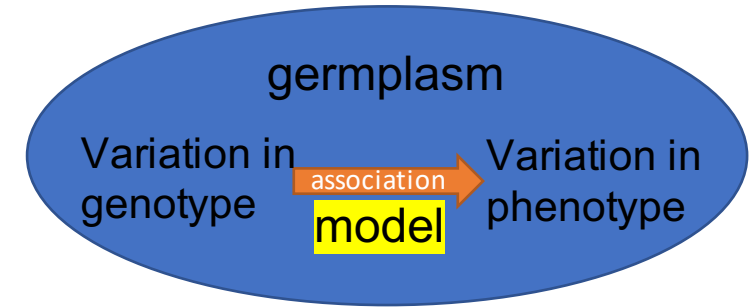
Type I and Type II Error

Null hypothesis is...	True	False
Rejected	Type I error False positive Probability = α	Correct decision True positive Probability = $1 - \beta$
Not rejected	Correct decision True negative Probability = $1 - \alpha$	Type II error False negative Probability = β

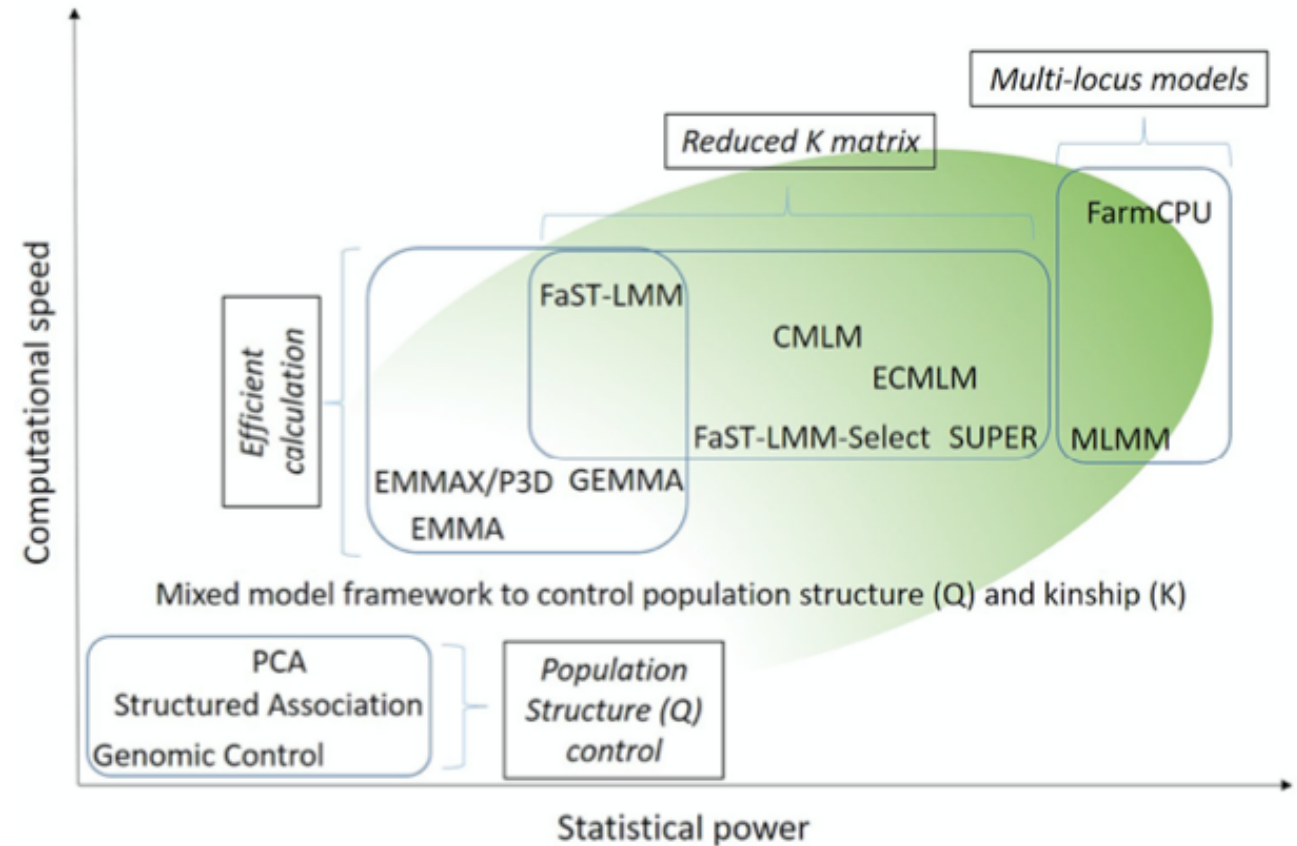


Yu *et al.* (2006)

Improvement of GWAS models

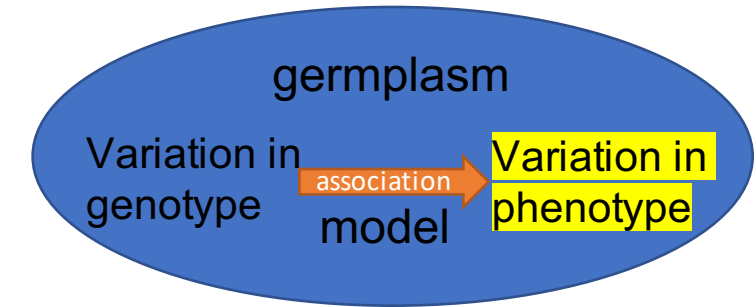


- Improvement have been made based on the mixed linear model framework
 - Increase computational efficiency
 - Increase statistical power
- Alternative framework for GWAS
 - Bayesian methods: Bayesian RR-BLUP, BayesA, BayesB, BayesC π , etc.

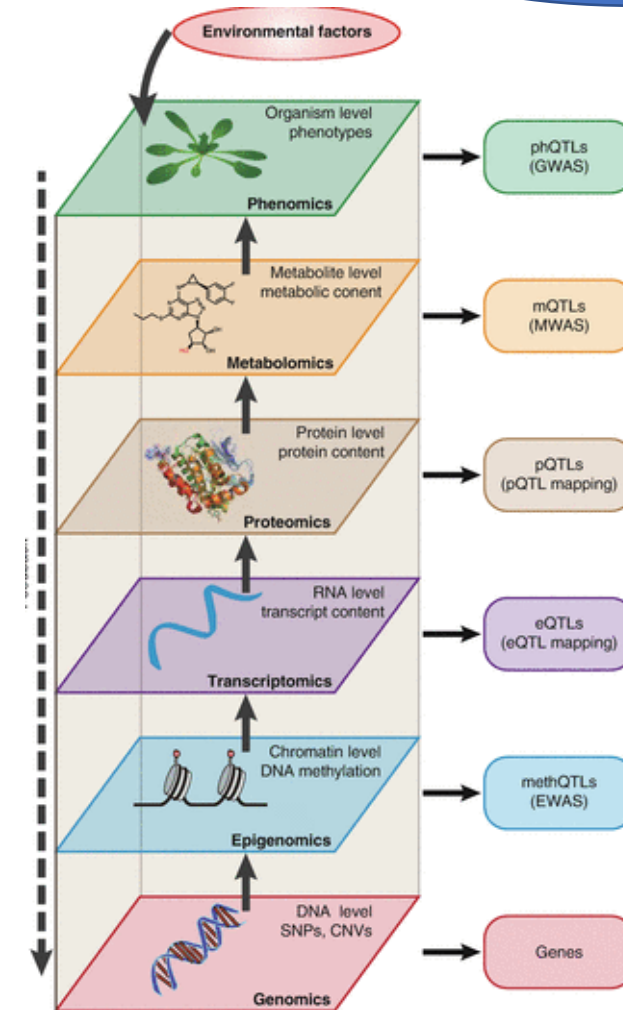


Cortes *et al.* (2020)

Expanding the list of phenotypes



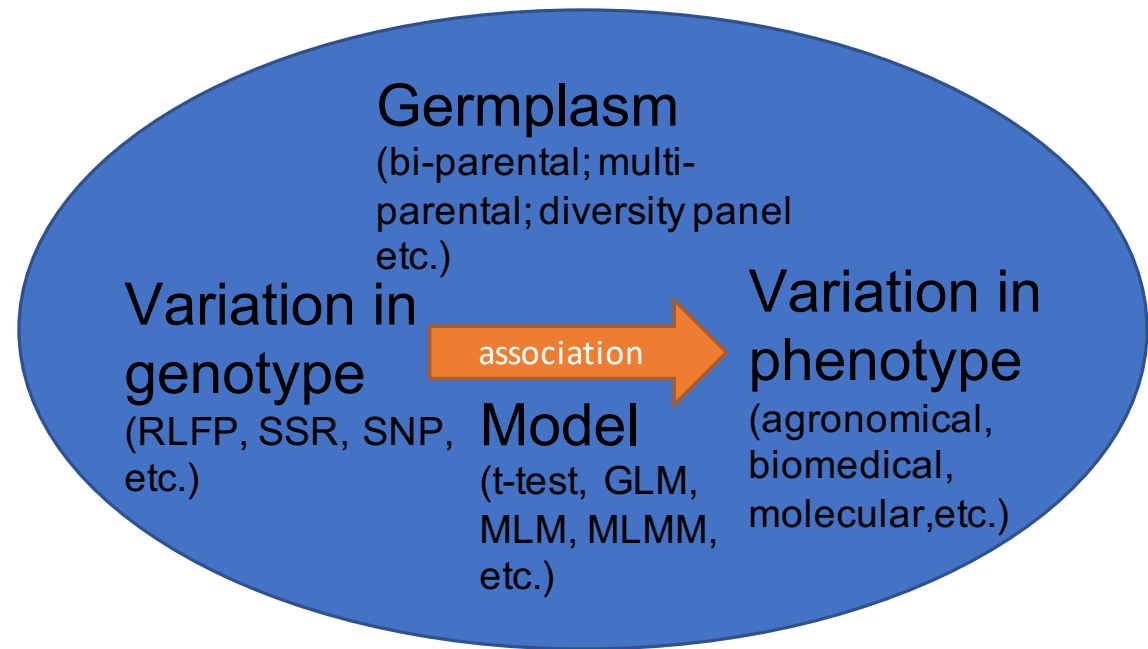
- Phenotype: the set of observable characteristics of an individual resulting from the interaction of its genotype with the environment
 - Morphological: physical form and structure
 - Biochemical: e.g. metabolome
 - Molecular: e.g. transcriptome, proteome
- The expression the phenotype across different environments and developmental stages



Chen *et al.* (2013)

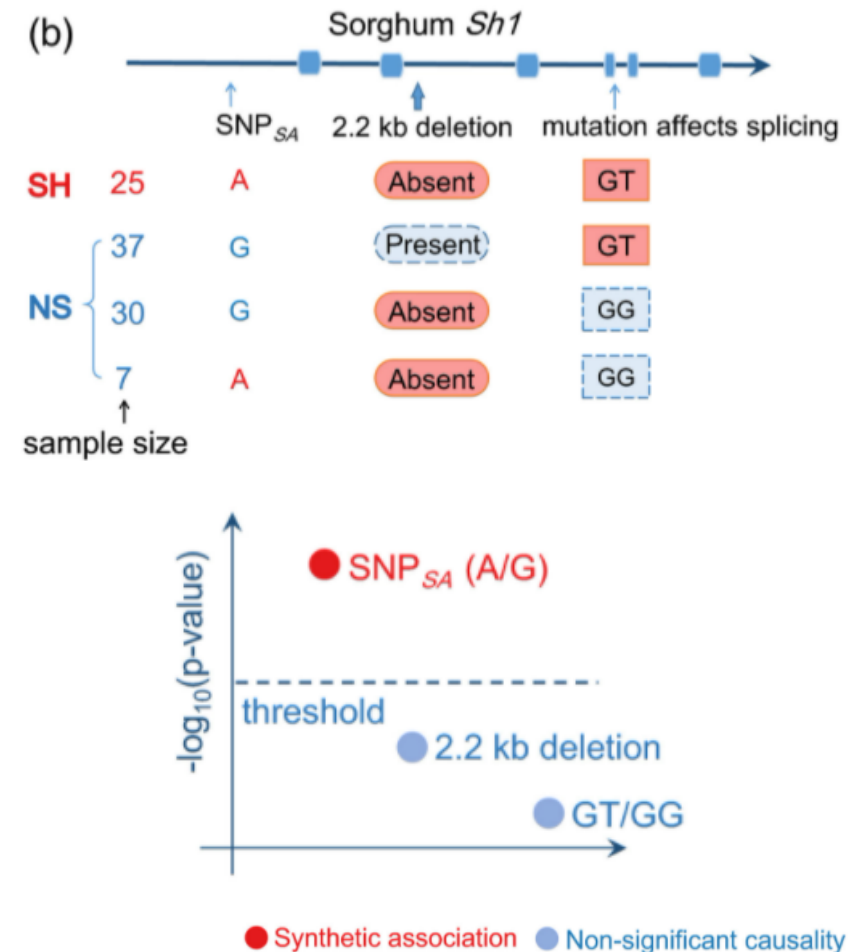
Summary

- Key factors that drive the development of GWAS
 - Germplasm (population)
 - Genetic markers
 - Statistical models
 - Phenotype



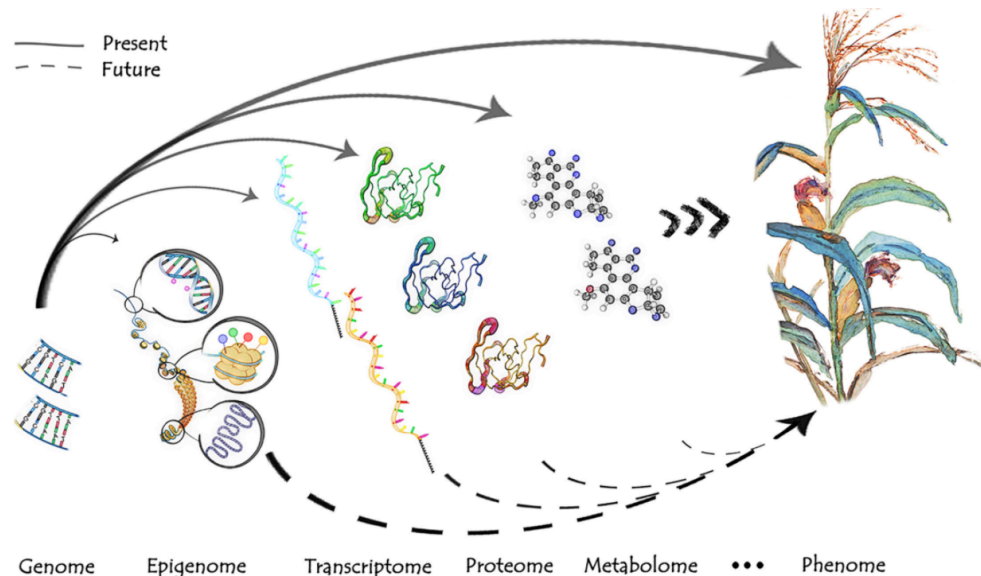
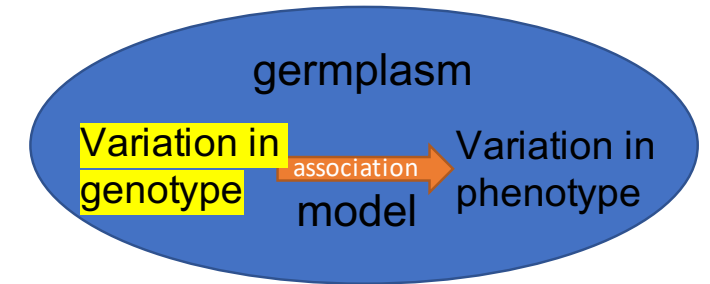
Some challenges of GWAS

- How to deal with loci with low minor allele frequency (low MAF -> small p-val, more false positives, low confidence on signals with low MAF)
- How to establish an appropriate significance threshold
 - Bonferroni correction: overly stringent
 - False discovery rate (FDR): assumption of test statistics are independent
 - Permutation test
- Synthetic association
 - the causative genes are sometimes located away from the GWAS peaks.

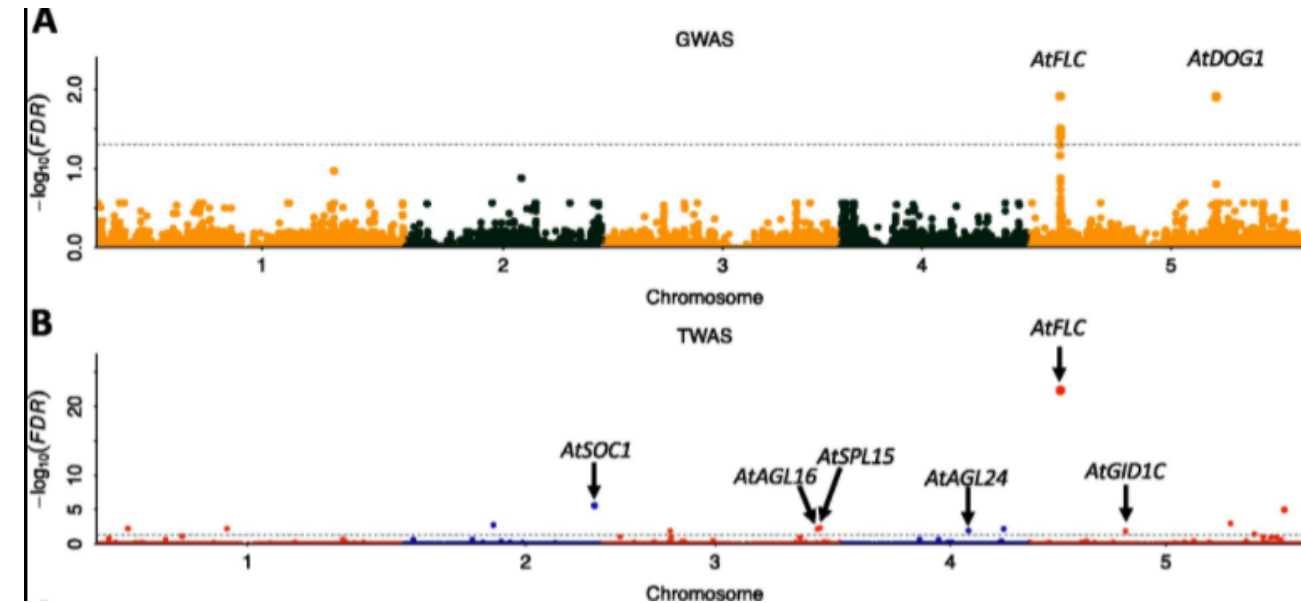


Future of GWAS

- Using omics data to associate with variation in phenotypes



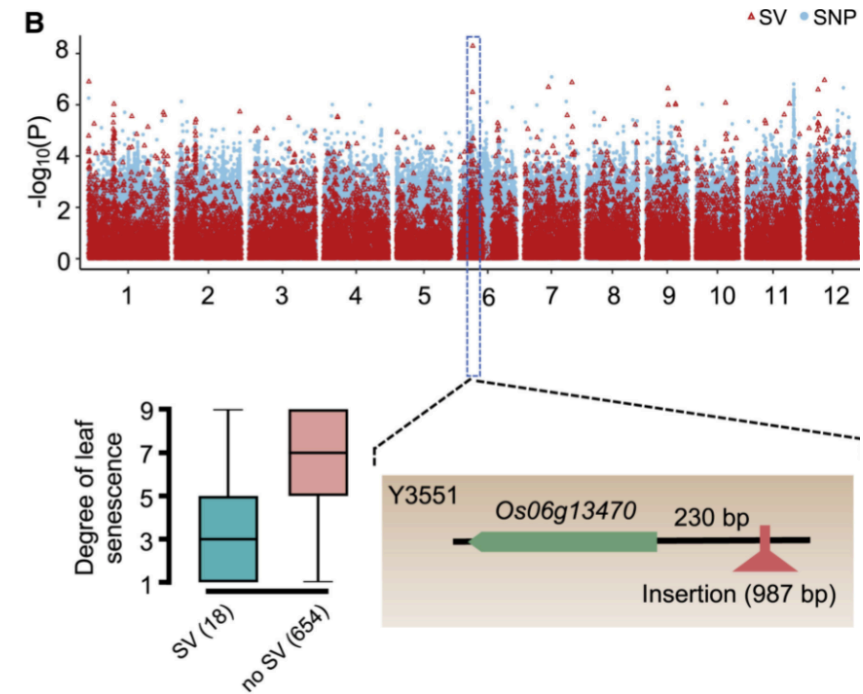
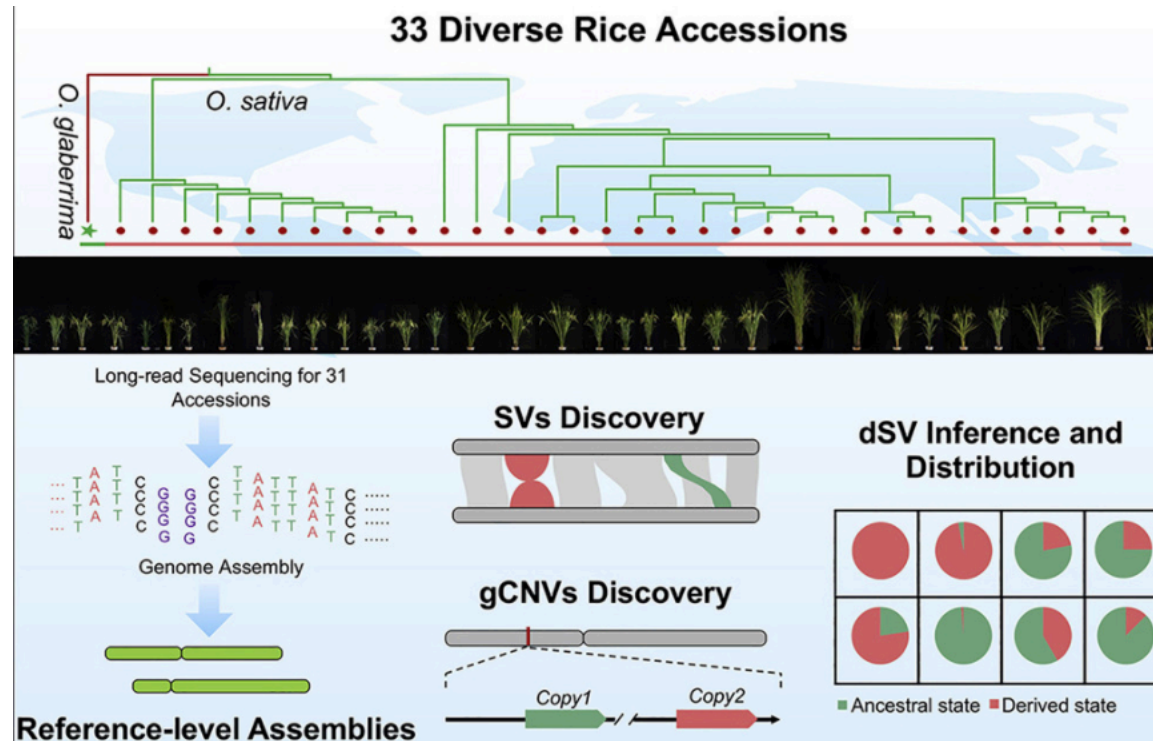
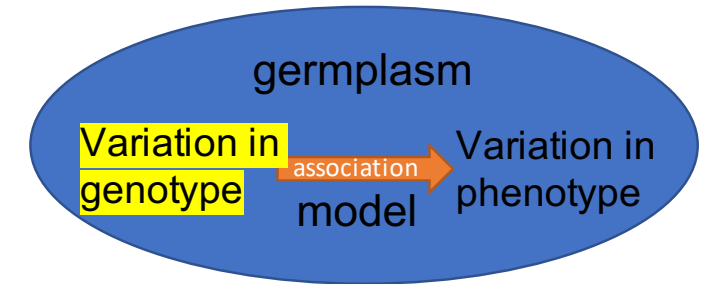
Xiao *et al.* (2017)



Li *et al.* (2021)

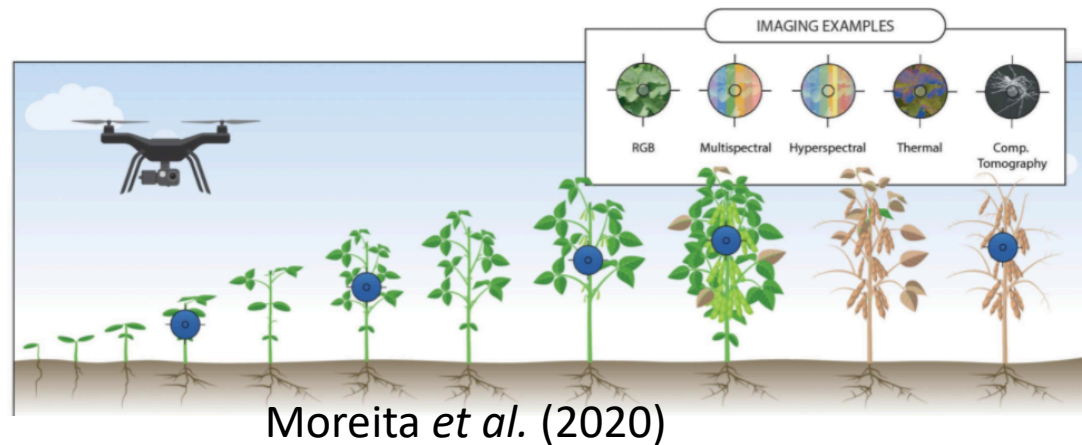
Future of GWAS

- From single reference genome to pan-genome

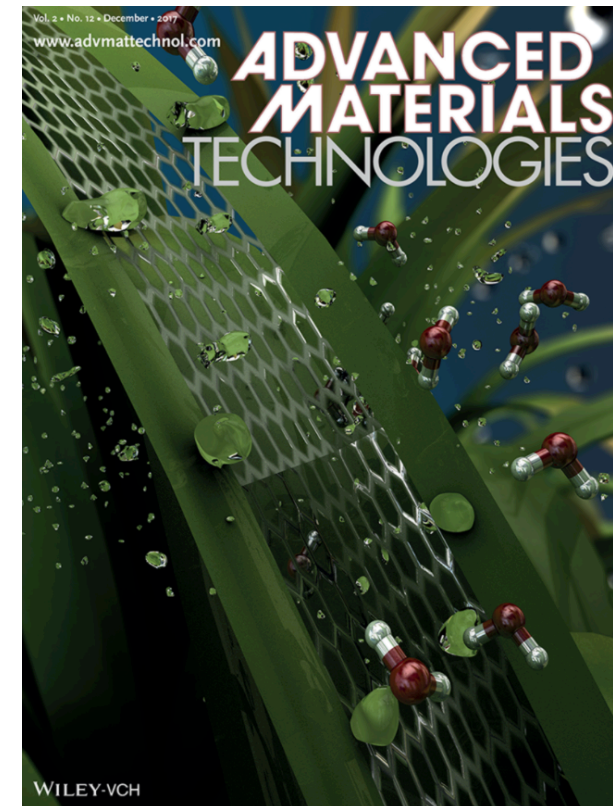
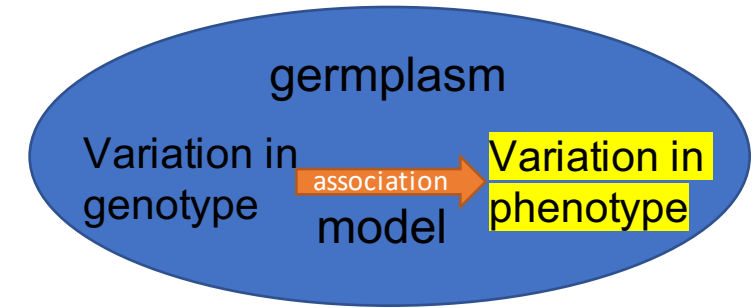


Future of GWAS

- High-throughput phenotyping/phenomics



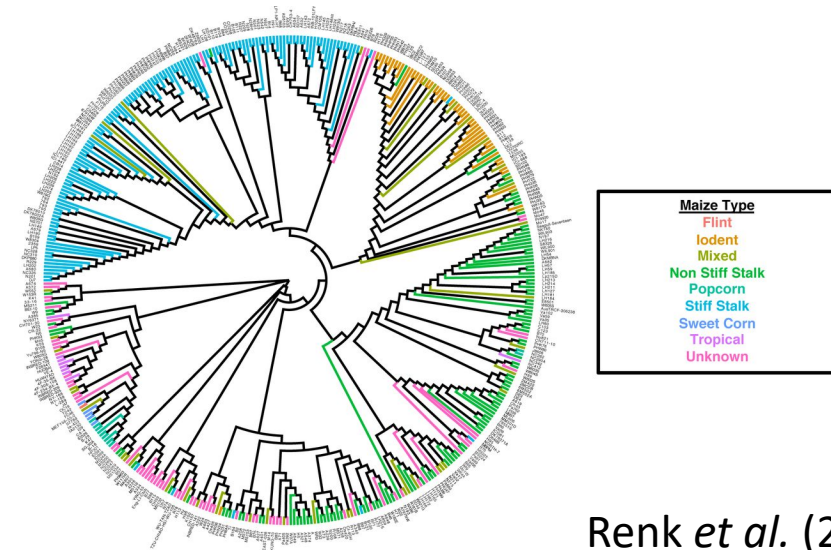
James Schnable Lab at UNL image



Liang Dong Lab at ISU image

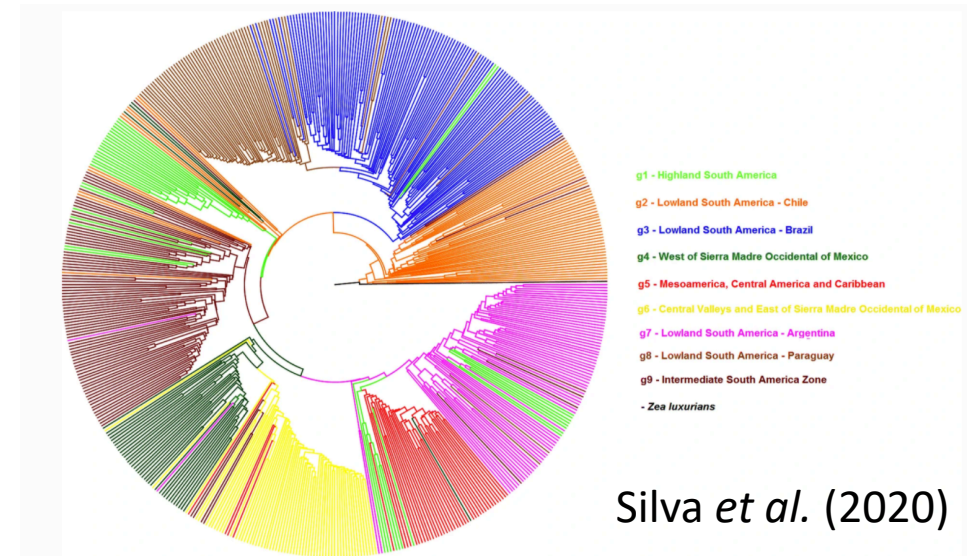
Species and germplasm

- Carefully consider all genetic aspects and available community resources
 - How was the diversity panel assembled
 - Genetic diversity, genome-wide LD, population structure
 - Genetic marker availability
 - Determine the appropriate sample size and number of markers



N = 501

Renk *et al.* (2021)

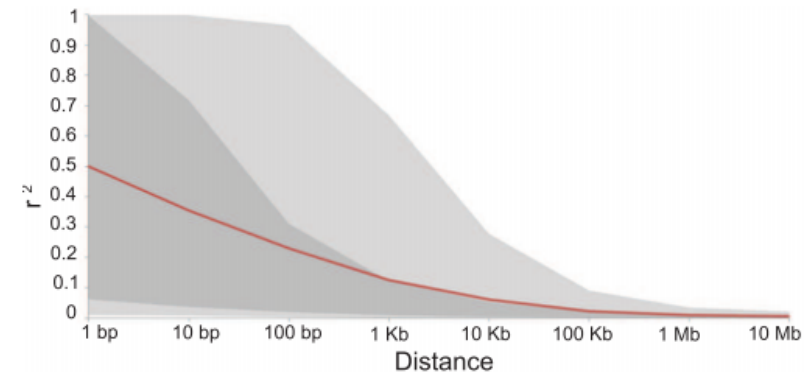
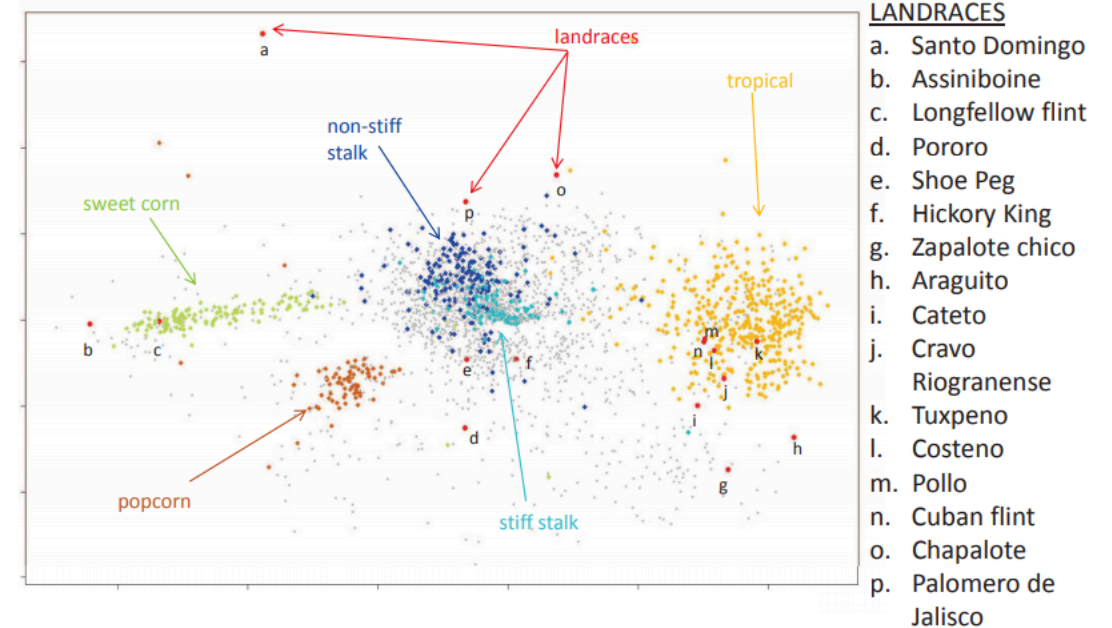


N = 575

Silva *et al.* (2020)

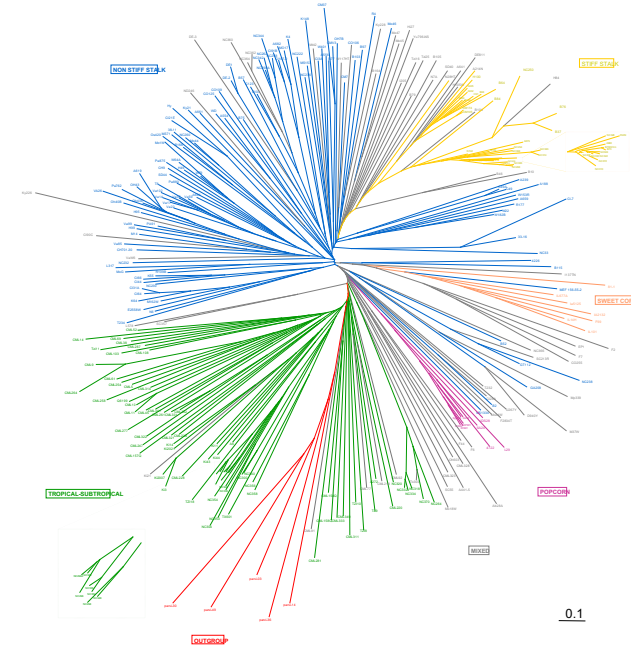
Species and germplasm

- Ames diversity panel
 - A world-wide collection of maize inbred lines (>3,000) maintained by the USDA North Central Regional Plant Introduction Station, Ames, IA
 - 2,815 inbred lines were genotyped with GBS (681,257) SNPs
 - LD decayed fast
 - Kernel color, flowering time, plant height were phenotyped

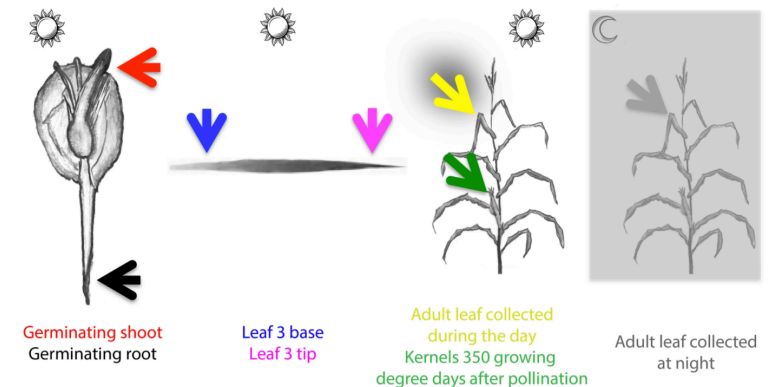


Species and germplasm

- Maize 282 association panel
 - A collection of 282 inbred lines through the world, biased towards temperate lines
 - Have been used in numerous community mapping studies (57 traits from multiple environments on Panzea.org)
 - Have been genotyped comprehensively
 - WGS (> 83M variant sites)
 - RNA-Seq data from seven tissues



Flint-Garcia *et al.* (2005)



Kremling *et al.* (2018)

Genetic markers

- SNP discovery enabled by Next Generation Sequencing (NGS)
 - SNP array, GBS, RNA-Seq, WGS etc.
 - Bioinformatic software and pipelines for SNP processing
 - Budget, genome coverage etc.

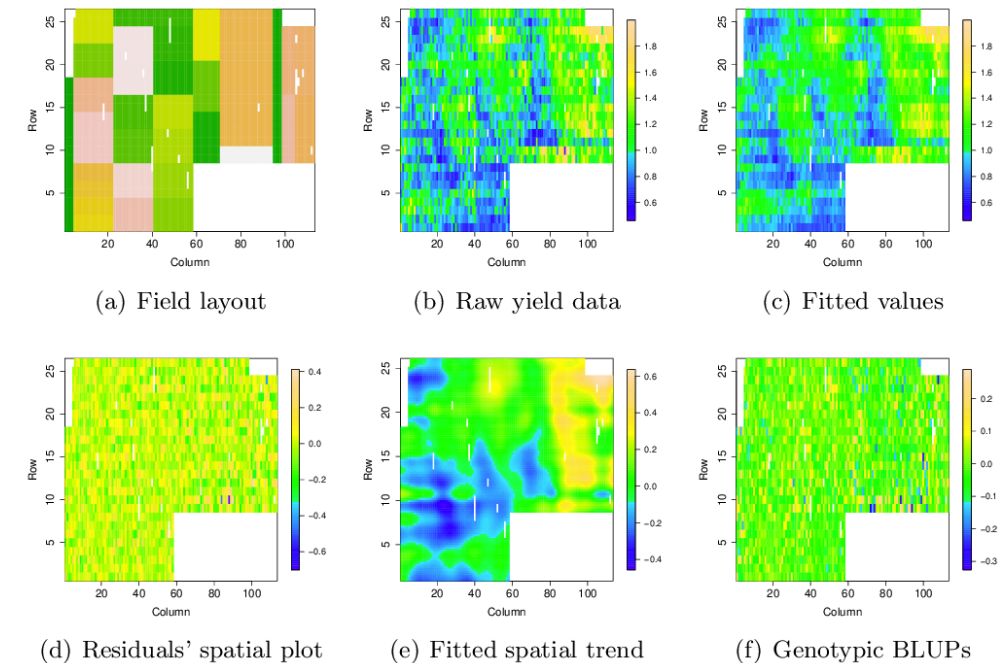
AG2PI workshop#3 by Dr. Jacob Landis

Phylogenomics approach	Genomic resources required	Initial bioinformatic investment	Ultimate bioinformatic investment	Initial laboratory cost	Ultimate cost per sample
<i>Genome skimming</i>	Yes	None	Medium	Low	Medium
<i>RAD-Seq</i>	No, but helpful	Medium	High	High	Low
<i>RNA-Seq</i>	No, but helpful	Low	High	Low	High
<i>Hyb-Seq</i>	Varies ^b	High ^b	Medium	Low ^b	Medium

Modified from Dodsworth et al., 2019

Field design and phenotyping

- Randomization and block design (influence of flowering time and correlated traits)
- Biological and technical replications
- Include checks
- Collect environmental data for spatial correction



Rodriguez-Alvarez *et al* (2016)

Field design and phenotyping

- Document how the phenotype was collected clearly
 - The developmental stage of the plant, date of data collection etc.
 - Take photo/video if needed
 - Useful for downstream analysis and reuse of data by other researchers

Plant Height (PLTHT)

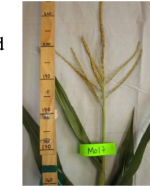
Description/Procedure:

Placing measuring stick on ground next to the root crown, “plant height” is measured at the ligule of the flag leaf.

See *Picture 1*

Timing: At plant maturity
n = 1 representative plant per plot
Unit: centimeter [cm]

Notes: One plant is considered sufficient since these are inbreds and hybrids and are not segregating for traits. Please record date measured.



Picture 1



Pollen Date

Description/Procedure:

Taken as [MM/DD/YY] to 50 percent of a plot exhibiting anther exertion on greater than half of main tassel spike. Day of anthesis recording is shown in *Picture 1*, whereas the day after is shown *Picture 2*.

Timing: At Flowering
n = 1 date per plot
Unit: [MM/DD/YY]



Picture 1

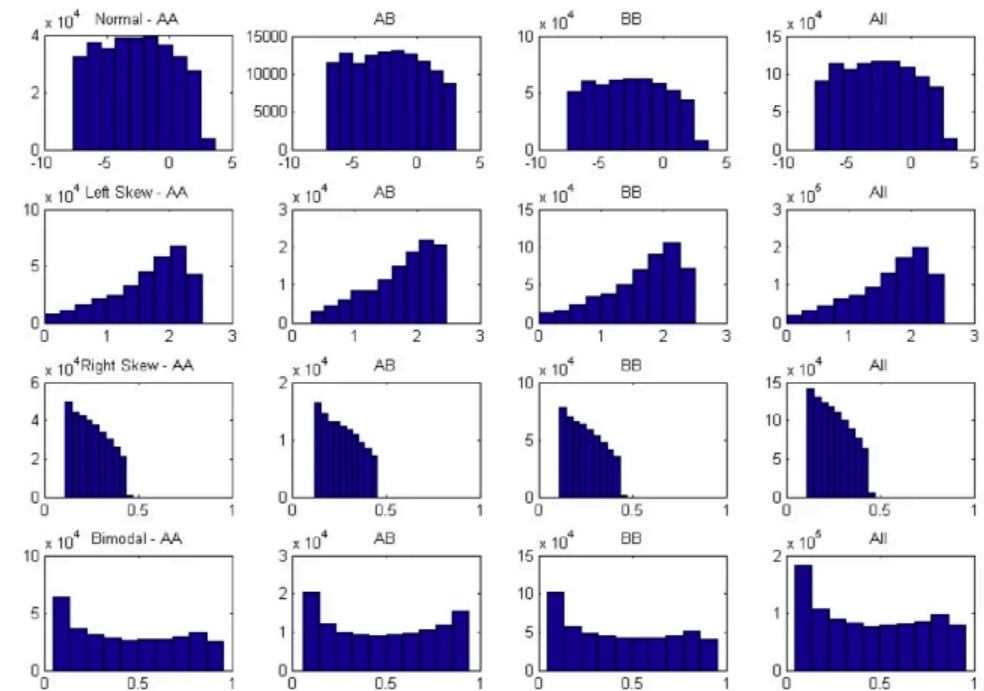


Picture 2

Image Credit: 2004, 2006; Purdue University, RL Nielsen

Get the phenotype right

- Exploratory analysis
 - Quantitative traits distribution
 - Outlier
- Model the factors in experimental design
 - Replications, treatments, years, locations etc.
 - Best Linear Unbiased Prediction (BLUP)
- Estimate the heritability of traits of interests
- [A tutorial on doing this](#)



Goh *et al* (2009)

Get the marker data right

- SNP data format
 - HapMap
 - VCF/BCF
 - ped/bed
 - Numeric
 - ...
- Software for format conversion
 - TASSEL
 - VCFtools
 - GTOOL
 - Customized scripts
 - ...

VCF

```
##fileformat=VCFv4.2
##contig=<ID=2,length=51304566>
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Read Depth">
##FORMAT=<ID=GQ,Number=1,Type=Integer,Description="Genotype Quality">
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1 SAMPLE2 SAMPLE3 SAMPLE4 SAMPLE5 SAMPLE6 SAMPLE7
2 81170 . C T . . AC=9;AN=7424 GT:DP:GQ 0/0:4:12 0/0:3:9 0/1:1:3 0/1:9:24 1/0:4:12 0/0:5:15 0/0:4:12
2 81171 . G A . . AC=6;AN=7446 GT:DP:GQ 0/1:4:12 0/0:3:9 0/0:1:3 0/0:9:24 0/1:4:12 0/1:5:15 0/0:4:12
2 81182 . A G . . AC=5;AN=7506 GT:DP:GQ 0/0:5:15 0/0:4:12 0/0:5:15 0/0:9:24 0/0:4:12 0/0:4:12 0/0:4:12
2 81204 . T G . . AC=2;AN=7542 GT:DP:GQ 1/0:5:15 0/0:9:27 0/0:10:30 0/0:15:39 0/0:9:27 1/0:13:39 0/1:14:42
```

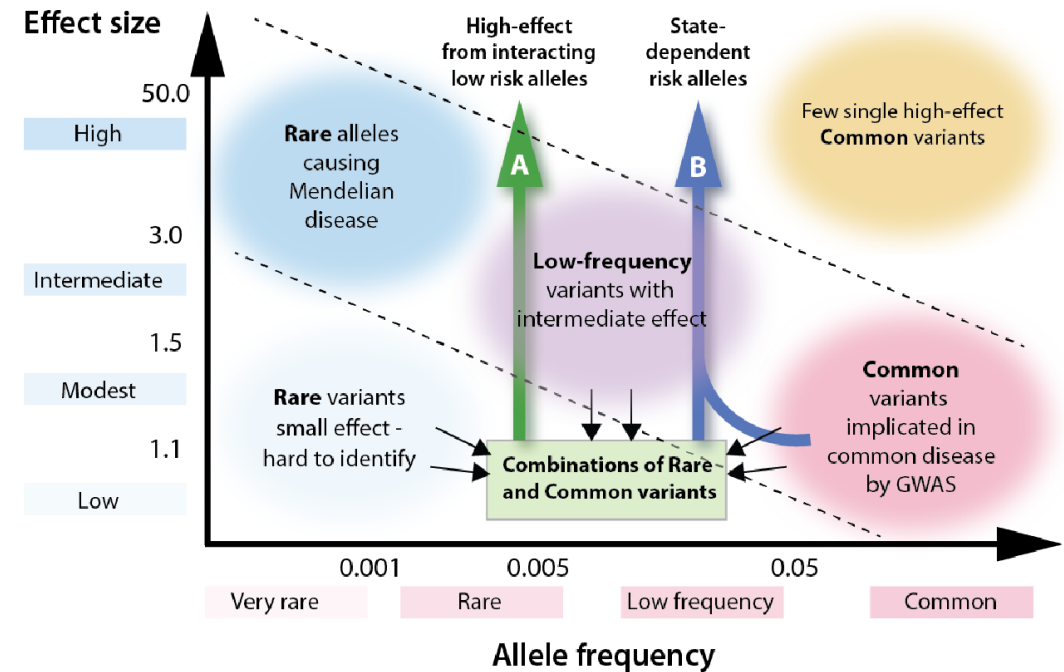
BCF

```
2 81170 . C T . . AC=9;AN=7424 GT:0/0:0/0:0/1:0/1:1/0:0/0:0/0 DP:4:3:1:9:4:5:4 GQ:12: 9: 3:24:12:15:12
2 81171 . G A . . AC=6;AN=7446 GT:0/1:0/0:0/0:0/0:0/1:0/1:0/0 DP:4:3:1:9:4:5:4 GQ:12: 9: 3:24:12:15:12
2 81182 . A G . . AC=5;AN=7506 GT:0/0:0/0:0/0:0/0:0/0:0/0:0/0 DP:5:4:5:9:4:4:4 GQ:15:12:15:24:12:12:12
2 81204 . T G . . AC=2;AN=7542 GT:1/0:0/0:0/0:0/0:0/0:1/0:0/1 DP:5:9:10:15:9:13:14 GQ:15:27:30:39:27:39:42
```

https://en.wikipedia.org/wiki/Variant_Call_Format

Get the marker data right

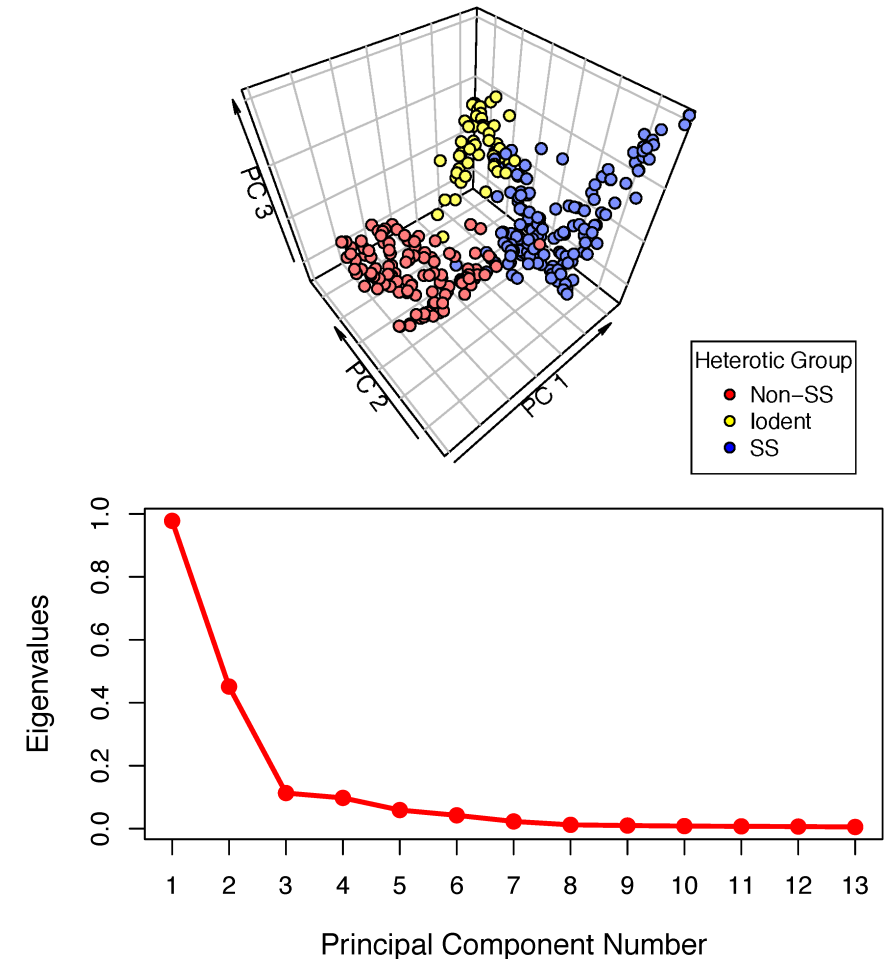
- Filter SNP marker before GWAS
- Minor allele frequency (MAF)
 - the frequency at which the *second most common* allele occurs in a given population
 - $MAF < 0.05$: rare variants
- Missing rate
- Heterozygosity rate



Whitcomb et al. (2015)

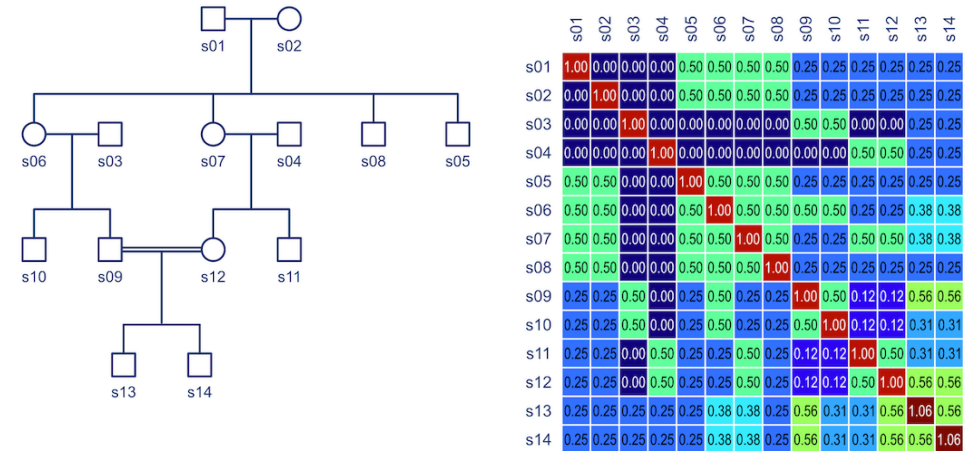
Address relatedness among individuals

- Control for population structure
- Calculate population structure with the STRUCTURE software (Pritchard Lab, Stanford)
 - Can be applied to microsatellites, RFLPs, AFLPs and SNPs
 - fastSTRUCTURE for large SNP datasets
- Principal Component Analysis (PCA)
 - Include the first few PCs that explain a large portion of variation



Address relatedness among individuals

- Control for kinship
- Identity by descent (IBD)
- Identity by state (IBS)
 - When two individuals possess the same alleles at a locus
- Algorithm for estimate kinship
 - Loiselle *et al* (1995)
 - VanRaden (2008)
- [Explanation](#)



<https://brainder.org/2015/07/29/understanding-the-kinship-matrix/>

Individual 1	A/C	G/T	A/G	A/A	G/G
Individual 2	C/C	T/T	A/G	C/C	G/G
IBS	1	1	2	0	2
Individual 3	A/C	G/G	A/A	A/A	G/G
Individual 4	C/C	T/T	G/G	C/C	A/G
IBS	1	0	0	0	1

Benjamin Neale lecture notes on population stratification

GWAS models and software

129 Free Genome-wide Association Study (GWAS) Tools - Software and Resources

<https://bioinformaticshome.com/tools/gwas/gwas.html>

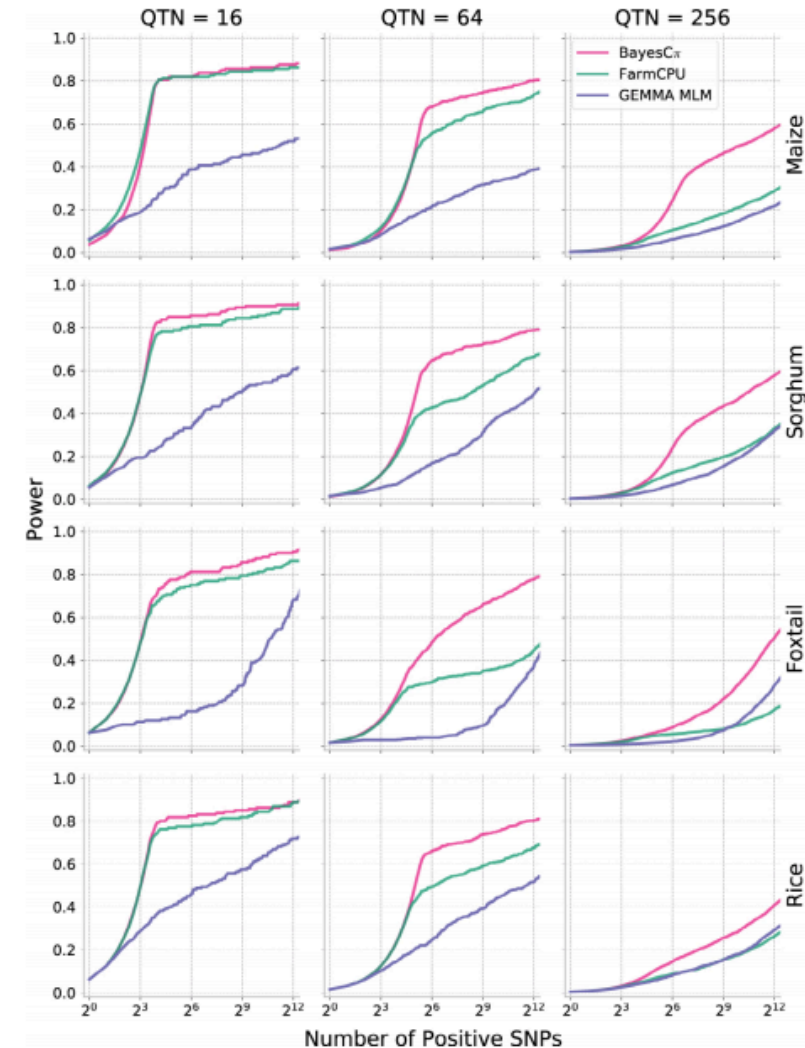
- Graphic User Interface (GUI)
 - Local
 - TASSEL (Trait Analysis by aSSociation, Evolution and Linkage)
 - Online
 - Cyverse DE, *easyGWAS*, GWAPP etc.
- Command line
 - TASSEL, PLINK, GEMMA etc.
 - GAPIT, MVP etc.

Year	Method	Positive semidefinite matrix requirement ^a	Strategy for increasing computational speed			Computational speed	Statistical power
			Approximate/Two-step approach ^b	Matrix optimization ^c	Low-rank matrix		
2006	Standard MLM					Low	High
2007	GRAMMAR		+			Very fast	Intermed
2008	EMMA	+		+		Intermediate	High
2010	EMMAX	+	+	+		Fast	High/Inte
2010	P3D and CMLM		+		+	Fast	High/Inte
2011	FaST-LMM	+		+		Fast	High
2012	GEMMA	+		+		Fast	High
2012	FaST-LMM-Select	+		+	+	Very fast	High
2014	ECMLM		+		+	Intermediate	High/Inte
2014	SUPER	+		+	+	Fast	High

Xiao *et al.* (2017)

GWAS models and software

- Choice of GWAS model
 - Species and population
 - Statistical power
 - Computational resources
 - Prior knowledge of the traits of interests (genetic architecture, heritability etc.)



Interpret GWAS results

Typical outputs from GWAS

Association table

SNP	Chromosome	Position	P.value	maf	nobs	Rsquare.of.Model.without.SNP	Rsquare.of.Model.with.SNP	FDR_Adjusted_P-values
Fea2.4	4	132736424	3.13E-07	0.290035587	281	0.079004463	0.170450593	0.000966617
PZB01223.3	3	192865132	3.88E-05	0.346975089	281	0.079004463	0.137165003	0.059980668
PZA03748.1	2	7481079	0.000196769	0.195729537	281	0.079004463	0.126357611	0.193907122
PZA00394.11	2	56930271	0.00025959	0.145907473	281	0.079004463	0.124537393	0.193907122
PZA00219.6	3	219309117	0.000313461	0.379003559	281	0.079004463	0.123302809	0.193907122

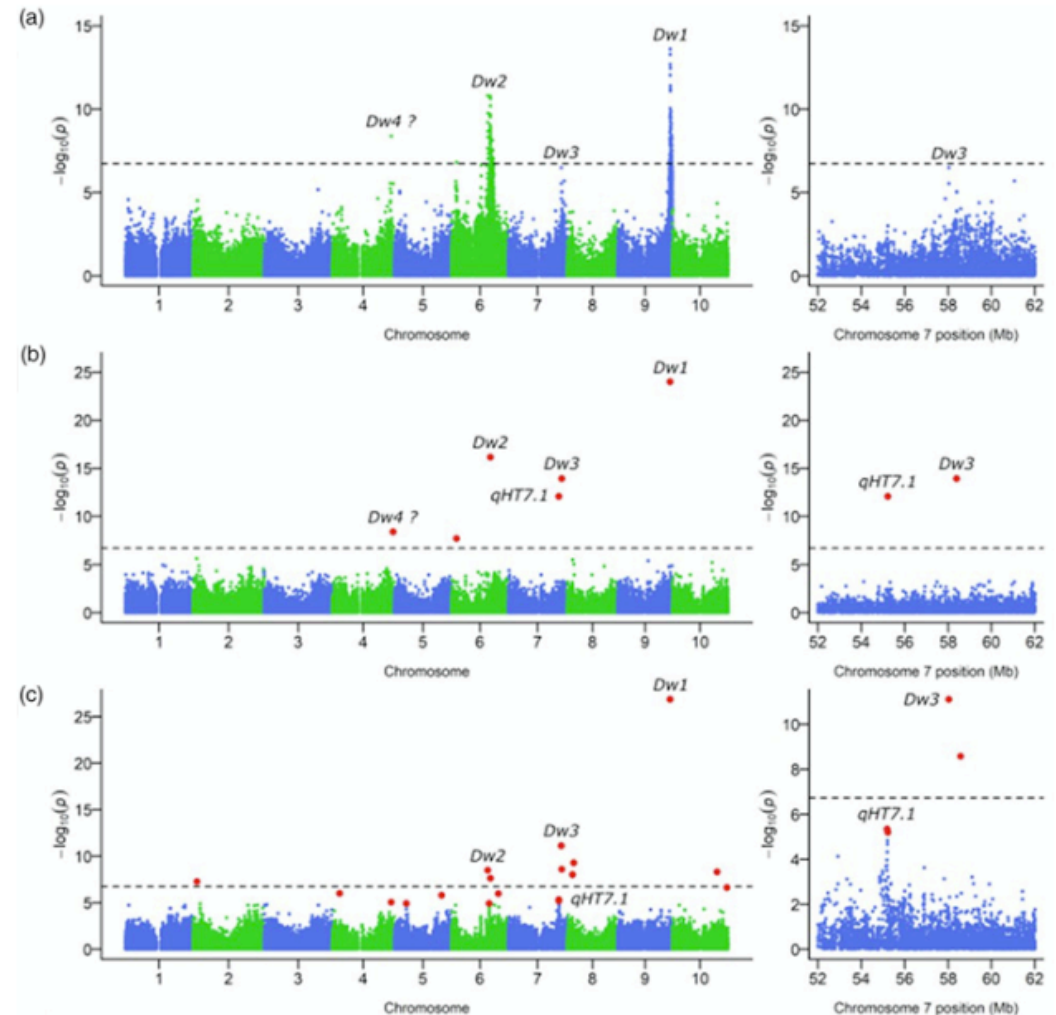
Allele effects table

SNP	Chromosome	Position	DF	t Value	std Error	effect
PZB00859.1	1	157104	276	0.255638527	0.501027645	0.128081969
PZA01271.1	1	1947984	276	-0.205390736	0.451124818	-0.092656858
PZA03613.2	1	2914066	276	-1.143780776	0.4887135	-0.558981106
PZA03613.1	1	2914171	276	1.194922452	0.540019499	0.645281424
PZA03614.2	1	2915078	276	0.277681398	0.489962989	0.136053608

Interpret GWAS results

- Manhattan Plot

- A scatter plot used to display large number of data points (SNPs) and their significance in GWAS
- Each data point is a SNP (not gene)
- X-axis: genomic position
- Y-axis: negative logarithm of the association p-value



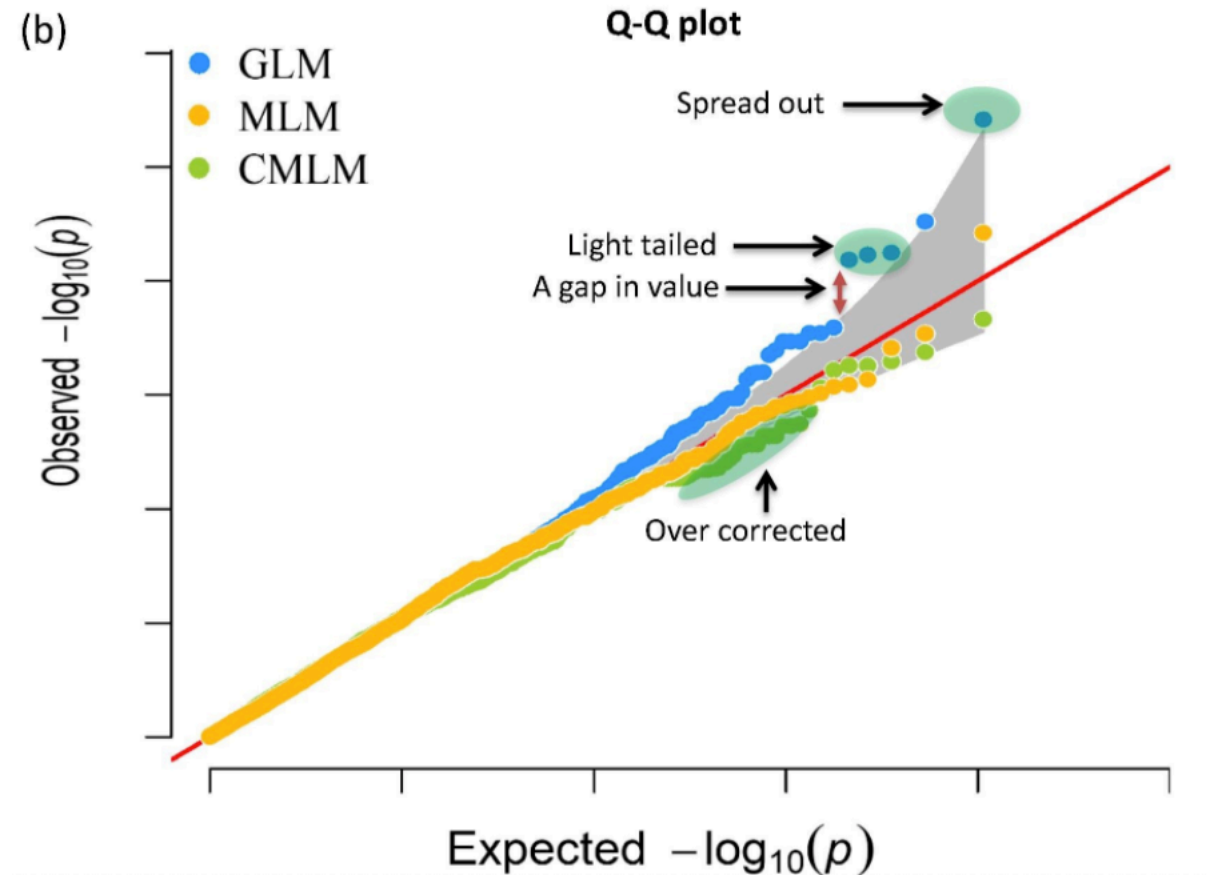
MLM

MMLM

FarmCPU

Interpret GWAS results

- Q-Q plot (quantile-quantile plot)
 - A scatterplot created by plotting two sets of quantiles against each other
 - An essential tool for detecting problems in a GWAS



Alqudah *et al.* (2020)

Interpret GWAS results

- How to decide on a cutoff for determining which p-values are significant?
- Multiple testing problem
 - E.g. N hypothesis tests were performed
 - If Type I error were set to a level (e.g. 0.05): the probability of incorrectly rejecting the null hypothesis
 - If the N tests were independent, and N is large (e.g. 1 million SNPs), we expect to incorrectly reject the null hypothesis ~50,000 times
 - Multiple testing problem: the more tests performed, the greater the probability of making Type I errors

Type I and Type II Error

Null hypothesis is...	True	False
Rejected	Type I error False positive Probability = α	Correct decision True positive Probability = $1 - \beta$
Not rejected	Correct decision True negative Probability = $1 - \alpha$	Type II error False negative Probability = β

Interpret GWAS results

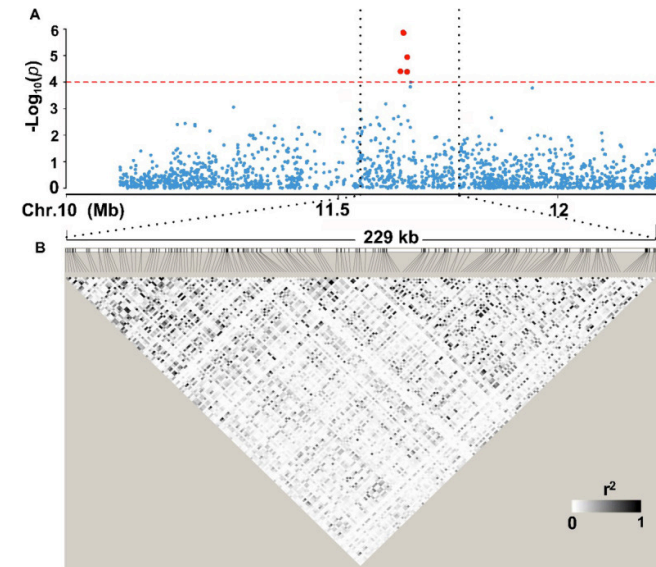
- Control for multiple testing
- Bonferroni correction
 - For a desired type I error α , set the Bonferroni type I error α_B to be

$$\alpha_B = \frac{\alpha}{N}$$

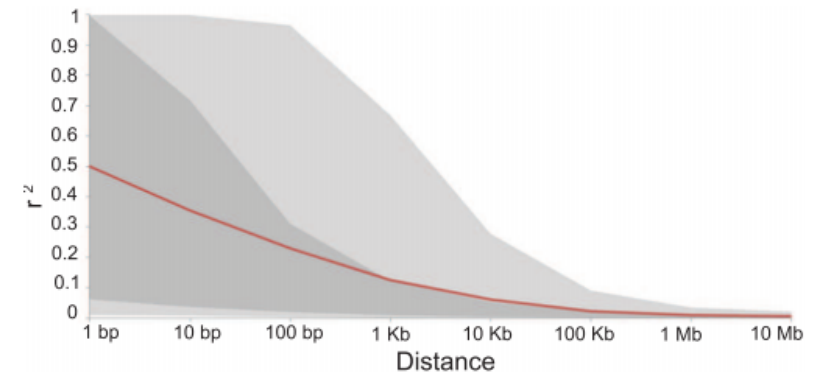
- E.g. if we have $N = 100,000$ and we want an overall type I error to be 0.05, we require a test to have have p-value less than 5×10^{-7}
- False discovery rate (FDR)-based approaches
 - Uses the expected number of false positives to control for type I error
 - FDR = 0.05, 0.1 etc.
 - Benjamini-Hochberg (BH) procedure, Benjamini–Yekutieli (BY) procedure etc.

Interpret GWAS results

- Search for candidate genes using local LD vs genome-wide LD
- Multiple candidate genes in the genomics region found by GWAS
 - Fine-mapping
 - Expression patterns of genes in related tissues
 - Gene expression datasets
 - Co-expression network



Yang *et al* Rice (2020)



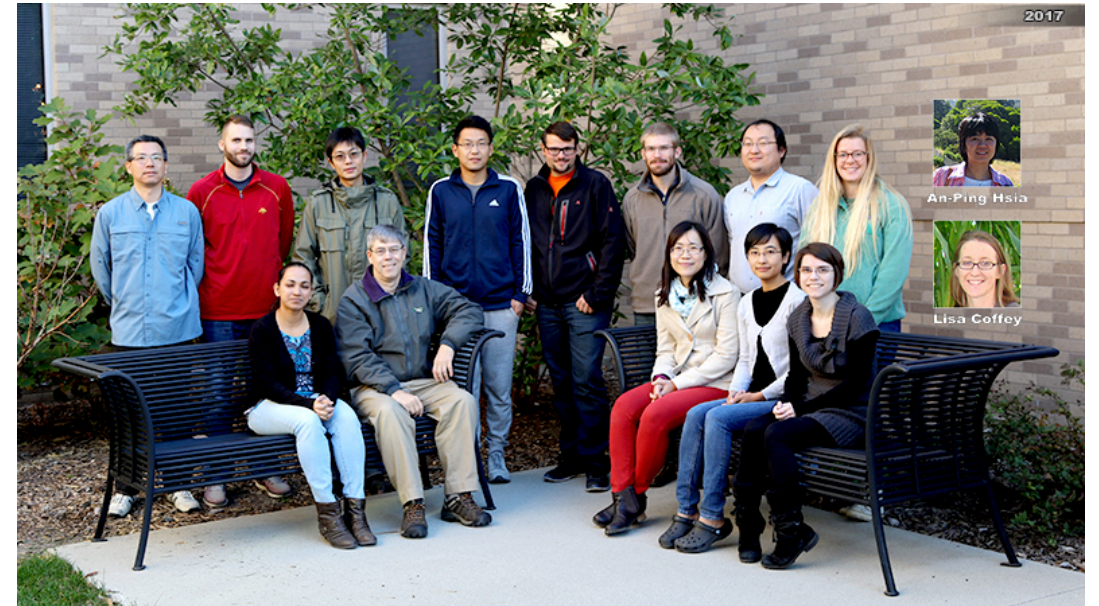
Romay *et al.* (2013)

Summary

- Brief history and evolution of GWAS
 - Linkage mapping and association studies
 - Four key factors in GWAS: germplasm, genetic markers, statistical models, phenotype
 - Control for population structure and kinship (MLM)
- How to initiate a GWAS experiment
 - Choice of germplasm (genetic diversity, population size etc.), type and number of genetic markers, field experimental design and phenotyping, choice of GWAS model
 - How to interpret the GWAS results

Acknowledgement

- Dr. Pat Schnable
- Dr. Eric Lyons
- Dr. Ryan Bartelme
- Dr. Nicole Scott
- Dr. Tingting Guo



Schnable lab @ ISU



Schnable lab @ CAU